

Field name	Type	Width	Description
Award Amount	Numeric	10	Phase award amount*.
PI First	Char	40	Principal Investigator First Name*.
PI Last	Char	40	Principal Investigator Last Name*.
PI Middle Init	Char	1	Principal Investigator middle initial.
PI Title	Char	40	Principal Investigator Title.
PI Phone	Char	10	Principal Investigator phone.
PI Email Address	Char	50	Principal Investigator email address.
Topic Code	Char	15	Agency Solicitation Topic Number*.
RI TYPE	Numeric	1	Type of research institution (see below).
RI Name	Char	80	Research institution.
RI Street 1	Char	80	Research institution address.
RI Street 2	Char	80	Research institution address.
RI City	Char	40	Research institution city.
RI State	Char	2	Research institution State.
RI Zip	Numeric	5	Research institution Zip.
RI Zip4	Numeric	4	Research institution Zip + 4.
RI Official First	Char	40	Research institution Official First Name.
RI Official Last	Char	40	Research institution Official Last Name.
RI Official Initial	Char	1	Research institution Official Middle Initial.
RI Official Phone	Char	10	
Tracking Number	Char	20	Agency key identifier (Internal number scheme)*.
TIN/EIN	Char	10	Taxpayer/Employer Identification number*. Prefix with 1 for EIN 2 for Social Security Number.
Contract/Grant Number	Char	20	Agency award contract/grant number.
Solicitation Number	Char	20	Solicitation Number.
Solicitation Year	Numeric	4	Year of the Solicitation.
Project Initiator	Char	1	Initiator of STTR collaborative effort.
Technology Used (Y/N)	Char	1	SBC or RI originate any technology used in the STTR project.
Time to establish license agreement (months).	Numeric	2	Time duration to establish any STTR license agreement.
STTR Proceeds Distribution to SBC (%)	Numeric	3	Allocation of proceeds from sale of STTR technology.
STTR Proceeds Distribution to RI (%)	Numeric	3	Allocation of proceeds from sale of STTR technology.
From this point each data element should be sent as a separate file			
TITLE	Char	800	Title of research project*.
Tracking Number	Char	20	Agency key identifier (Internal number scheme)*.
Abstract	Char	1500	Technical abstract (500 words).
Tracking Number	Char	20	Agency key identifier (Internal number scheme)*.
Abstract SeqNmb	Numeric	1	
Results	Char	1000	—Project anticipated results.
Tracking Number	Char	20	Agency key identifier (Internal number scheme)*.
COMMENTS	Char	1000	—Project comments.
Tracking Number	Char	20	Agency key identifier (Internal number scheme)*.
Industry Share Amount	Numeric	10	ATP Program Cost Share Amount.
Cost Share Tracking #	Char	20	ATP Cost Share Tracking Number.

Note: Those fields denoted with an asterisk are deemed mandatory in all agency submissions. It is understood that all agencies will not have data for each data field listed above. Each agency must ensure that data submissions to the SBA include all of the data fields above, even if they are empty.

Code Research Institution Types

- 1 Nonprofit college or university
- 2 Domestic nonprofit research organization
- 3 Federally funded research and development center (FFDRC)

(b) Codes

(1) Program Identification Code

- 0 STTR (Small Business Technology Transfer)
- 1 SBIR (Small Business Innovation Research)
- 2 ATP (Advanced Technology Program)

(2) Agency Codes

- 1 DOD (Department of Defense)
- 2 DOE (Department of Energy)
- 3 NASA (National Aeronautics and Space Administration)
- 4 HHS (Health and Human Services)
- 5 NSF (National Science Foundation)
- 6 DOT (Department of Transportation)

- 7 EPA (Environmental Protection Agency)

- 8 ED (Department of Education)
- 9 DOA (Department of Agriculture)
- 10 DOC (Department of Commerce)
- 11 NIST (National Institute of Standards and Technology)

(3) Branch Codes

- 1 AF (Department of the Air Force)
- 2 ARMY (Department of the Army)
- 3 MDA (Missile Defense Agency)
- 4 DARPA (Defense Advanced Research Projects Agency)
- 5 DSWA (Defense Special Weapons Agency)