

**DEPARTMENT OF LABOR****Office of the Secretary****Enforcement of Title VI of the Civil Rights Act of 1964; Policy Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons****AGENCY:** Office of the Secretary, Labor.**ACTION:** Notice of policy guidance; re-opening and extension of comment period.

**SUMMARY:** This document re-opens and extends the period for filing comments regarding the Department of Labor's (DOL) Revised Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (Revised DOL Recipient LEP Guidance).

**DATES:** Comments must be submitted on or before August 7, 2003.

**ADDRESSES:** Interested persons should submit written comments to Ms. Annabelle T. Lockhart, Director, Civil Rights Center, U.S. Department of Labor, 200 Constitution Ave., NW., Room N-4123, Washington, DC 20210. Commenters wishing acknowledgment of their comments must submit them by certified mail, return receipt requested. Please be advised that mail delivery to federal buildings in the Washington, DC metropolitan area may experience delays due to concerns about anthrax contamination. Comments may also be transmitted by facsimile to (202) 693-6505 or by e-mail to [civilrightscenter@dol.gov](mailto:civilrightscenter@dol.gov).

**FOR FURTHER INFORMATION CONTACT:** Annabelle Lockhart or Naomi Barry-Pérez at the Civil Rights Center, U.S. Department of Labor, 200 Constitution Ave., NW., Room N-4123, Washington, DC 20210. Telephone: 202-693-6500; TTY: 202-693-6515. Arrangements to receive the Guidance in an alternative format may be made by contacting the named individuals.

**SUPPLEMENTARY INFORMATION:** In the **Federal Register** of May 29, 2003 (68 FR 32290), the Department of Labor published Revised Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (Revised DOL Recipient LEP Guidance). Interested persons were requested to submit comments on or before June 30, 2003.

Due to technological difficulties, the email account of the Department of Labor Civil Rights Center ([civilrightscenter@dol.gov](mailto:civilrightscenter@dol.gov)) was unable to receive incoming messages. Messages sent to this email account prior to June 27, 2003, were not received and cannot be retrieved. In order to provide commenters with an opportunity to resubmit comments, the comment period for the Revised DOL Recipient LEP Guidance is extended to August 7, 2003.

Signed in Washington, DC this 1st of July, 2003.

**Patrick Pizzella,**

*Assistant Secretary for Administration and Management.*

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**DEPARTMENT OF LABOR****Employee Benefits Security Administration**

**[Exemption Application No. D-10988 *et al.*]**

**Prohibited Transaction Exemption 2003-20; Grant of Individual Exemptions; Deutsche Bank Securities, Inc. and Its Affiliates**

**AGENCY:** Employee Benefits Security Administration, Labor.

**ACTION:** Grant of individual exemptions.

**SUMMARY:** This document contains exemptions issued by the Department of Labor (the Department) from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (the Act) and/or the Internal Revenue Code of 1986 (the Code).

A notice was published in the **Federal Register** of the pendency before the Department of a proposal to grant such exemption. The notice set forth a summary of facts and representations contained in the application for exemption and referred interested persons to the application for a complete statement of the facts and representations. The application has been available for public inspection at the Department in Washington, DC. The notice also invited interested persons to submit comments on the requested exemption to the Department. In addition the notice stated that any interested person might submit a written request that a public hearing be held (where appropriate). The applicant has represented that it has complied with the requirements of the notification to interested persons. No requests for a hearing were received by the Department. Public comments were

received by the Department as described in the granted exemption.

The notice of proposed exemption was issued and the exemption is being granted solely by the Department because, effective December 31, 1978, section 102 of Reorganization Plan No. 4 of 1978, 5 U.S.C. App. 1 (1996), transferred the authority of the Secretary of the Treasury to issue exemptions of the type proposed to the Secretary of Labor.

**Statutory Findings**

In accordance with section 408(a) of the Act and/or section 4975(c)(2) of the Code and the procedures set forth in 29 CFR part 2570, subpart B (55 FR 32836, 32847, August 10, 1990) and based upon the entire record, the Department makes the following findings:

(a) The exemption is administratively feasible;

(b) The exemption is in the interests of the plan and its participants and beneficiaries; and

(c) The exemption is protective of the rights of the participants and beneficiaries of the plan.

**Deutsche Bank Securities Inc. and Its Affiliates Located in New York, NY**

[Prohibited Transaction Exemption 2003-20; Exemption Application No. D-10988]

**Exemption**

The restrictions of sections 406(a)(1)(A) through (D) of the Act and the sanctions resulting from application of section 4975 of the Code, by reason of section 4975(c)(1)(A) through (D) of the Code, shall not apply to any purchase or sale of securities, in the context of a portfolio liquidation or restructuring, between (i) Deutsche Bank Securities Inc. (DBSI) and its current and future affiliates, including certain foreign broker-dealers or banks (the Foreign Affiliates, as defined in Section III below), (collectively, the Applicant) and (ii) employee benefit plans (the Plans) with respect to which the Applicant is a party in interest, provided that the conditions set forth in Section II are satisfied.

**Section II—Conditions**

A. The Applicant customarily purchases and sells securities for its own account in the ordinary course of its business as a broker-dealer or bank;

B. The Applicant (including an affiliate) does not have discretionary authority or control with respect to the investment of the Plan assets involved in the transaction, nor renders investment advice (within the meaning of 29 CFR 2510.3-21(c)) with respect to those assets.