

the delivery of services as soon as possible and *no later than 4 months* after award. SAMHSA's Services Grants may include substance abuse prevention, substance abuse treatment and/or mental health services. Throughout this announcement, SAMHSA will use the term "services" to refer to all three types of services. The NOFA will provide guidance on the particular type of service to be provided through each funding opportunity.

1. Documenting the Evidence-Base for Services To Be Implemented

The services implemented through SAMHSA's Services Grants must incorporate the best objective information available from recognized experts regarding effectiveness and acceptability. In general, the services implemented through SAMHSA's Services Grants will have strong evidence of effectiveness. However, because the evidence base is limited in some areas, SAMHSA may fund some services for which the evidence of effectiveness is based on formal consensus among recognized experts in the field and/or evaluation studies that have not been published in the peer reviewed literature.

Applicants proposing to implement practices included in the following sources meet the standard of effectiveness for SAMHSA's Services Grants, and will not be required to provide further documentation of the practice's effectiveness:

- SAMHSA's National Registry of Effective Programs (NREP) (see Appendix D),
- Center for Mental Health Services (CMHS) Evidence Based Practice Tool Kits (see Appendix E),
- List of Effective Substance Abuse Treatment Practices (see Appendix F),
- Additional practices identified in the NOFA for a specific funding opportunity.

Applicants proposing services/practices that have *not* been identified by SAMHSA as meeting the required effectiveness standard must show that the services to be implemented through their proposed projects incorporate the best objective information available from recognized experts regarding effectiveness and acceptability. To do so, applicants must provide a narrative justification that describes the evidence for the services/practices and summarizes the evidence for effectiveness. The evidence may come from various sources, including the published research literature, formal consensus among recognized experts, and studies that have not been

published in the peer-reviewed research literature.

2. Services Delivery

SAMHSA's Services Grant funds must be used primarily to support direct services, including the following types of activities:

- Conducting outreach *and* pre-service strategies to expand access to treatment or prevention services to underserved populations. If you propose to provide *only* outreach and pre-service strategies, you must show that your organization is an effective and integral part of a network of service providers.
- Purchasing or providing direct treatment or prevention services for populations at risk. Treatment must be provided in outpatient, day treatment or intensive outpatient, or residential programs.
- Purchasing or providing "wrap-around" services (e.g., child care, vocational, educational and transportation services) designed to improve access and retention.
- Collecting data using specified tools and standards to measure and monitor treatment or prevention services and costs. (No more than 20% of the total grant award may be used for data collection and evaluation.)

3. Infrastructure Development (Maximum 15% of Total Grant Award)

Although SAMHSA expects that its Services Grant funds will be used primarily for direct services, SAMHSA recognizes that infrastructure changes may be needed to support service delivery expansion in some instances. You may use up to 15% of the total Services Grant award for the following types of infrastructure development, if necessary to support the direct service expansion of the grant project.

- Building partnerships to ensure the success of the project and entering into service delivery and other agreements.
- Developing or changing the infrastructure to expand treatment or prevention services.
- Training to assist treatment or prevention providers and community support systems to identify and address mental health or substance abuse issues.

4. Grantee Meetings

You must plan to send a minimum of two people (including the Project Director) to at least one joint grantee meeting in each year of the grant, and you must include funding for this travel in your budget. At these meetings, grantees will present the results of their projects and Federal staff will provide technical assistance. Each meeting will be 3 days. These meetings will usually

be held in the Washington, D.C., area, and attendance is mandatory.

5. Data and Performance Measurement

The Government Performance and Results Act of 1993 (Pub. L. 103-62, or "GPRA") requires all Federal agencies to:

- Develop strategic plans that specify what they will accomplish over a 3 to 5-year period;
- Set performance targets annually related to their strategic plan; and
- Report annually on the degree to which the previous year's targets were met.

The law further requires agencies to link their performance to their budgets. Agencies are expected to evaluate their programs regularly and to use results of these evaluations to explain their successes and failures.

To meet these requirements, SAMHSA must collect performance data (i.e., "GPRA data") from grantees. You are required to report these GPRA data to SAMHSA on a timely basis so that performance results are available to support budgetary decisions.

In particular, you will be required to provide data on a core set of required measures, depending on the SAMHSA Center that is funding the grant. In your application, you must demonstrate your ability to collect and report on these measures, and you must provide some baseline data.

Appendix A provides the performance indicators for SAMHSA's Services grantees. For complete information on the core measures relating to these indicators and the methodology for data collection and reporting, please consult the following Web sites:

- Center for Mental Health Services-funded grants: <http://www.samhsa.gov/aps/CMHS/GPRA>.
- Center for Substance Abuse Prevention-funded grants: <http://www.samhsa.gov/aps/CSAP/GPRA>.
- Center for Substance Abuse Treatment-funded-grants: <http://www.samhsa.gov/aps/CSAT/GPRA>.

This information will be provided in the hard copy application kits distributed by SAMHSA's Clearinghouses, as well.

In some instances, you may be required to participate in cross-site evaluations and comply with additional data collection requirements. The NOFA will state if participation in a cross-site evaluation is required and will specify additional data collection requirements. Before grant award, a final agreement regarding data collection will be reached. The terms and conditions of the grant award will specify the data to