

II.—LIST OF FREQUENTLY CITED VIOLATIONS—Continued

Violation description	Section or cite	Baseline assessment
1. Failure to provide a shipping paper for a shipment of hazardous materials.	172.201	\$3,000 to \$6,000.
2. Failure to follow one or more of the three approved formats for listing hazardous materials on a shipping paper.	172.201(a)(1)	\$1,200.
3. Failure to retain shipping papers for 375 days after a hazardous material (or 3 years for a hazardous waste) is accepted by the initial carrier.	172.201(e)	\$1,000.
4. Failure to include a proper shipping name in the shipping description or using an incorrect proper shipping name.	172.202	\$800 to \$1,600.
5. Failure to include a hazard class/division number in the shipping description.	172.202	\$1,000 to \$2,000.
6. Failure to include an identification number in the shipping description.	172.202	\$1,000 to \$2,000.
7. Using an incorrect hazard class/identification number:	172.202.	
a. that does not affect compatibility requirements	\$800.
b. that affects compatibility requirements	\$3,000 to \$6,000.
8. Using an incorrect identification number:	172.202..	
a. that does not change the response information	\$800.
b. that changes the response information	\$3,000 to \$6,000.
9. Failure to include the Packing Group, or using an incorrect Packing Group.	172.202	\$1,200.
10. Using a shipping description that includes additional unauthorized information (extra or incorrect words).	172.202	\$800.
11. Using a shipping description not in required sequence.	172.202	\$500.
12. Using a shipping description with two or more required elements missing or incorrect:	172.202.	
a. such that the material is misdescribed	\$3,000.
b. such that the material is misclassified	\$6,000.
13. Failure to include the total quantity of hazardous material covered by a shipping description.	172.202(c)	\$500.
14. Failure to list an exemption number in association with the shipping description.	172.203(a)	\$800.
15. Failure to indicate "Limited Quantity" or "Ltd Qty" following the basic shipping description of a material offered for transportation as a limited quantity.	172.203(b)	\$500.
16. Failure to include "RQ" in the shipping description to identify a material that is a hazardous substance.	172.203(c)(2)	\$500.
17. Failure to include a required technical name in parenthesis for a listed generic or "n.o.s." material.	172.203(k)	\$1,000.
18. Failure to include the required shipper's certification on a shipping paper.	172.204	\$1,000.
19. Failure to sign the required shipper's certification on a shipping paper.	172.204	\$800.
C. Emergency Response Information Requirements:		
1. Providing or listing incorrect emergency response information with or on a shipping paper.	172.602.	
a. No significant difference in response	\$800.
b. Significant difference in response	\$3,000 to \$6,000.
2. Failure to include an emergency response telephone number on a shipping paper.	172.604	\$2,600.
3. Failure to have the emergency response telephone number monitored while a hazardous material is in transportation or listing multiple telephone numbers (without specifying the times for each) that are not monitored 24 hours a day.	172.604	\$1,300.
4. Listing an unauthorized emergency response telephone number on a shipping paper.	172.604	\$2,600 to \$4,200.
5. Listing an incorrect or non-working emergency response telephone number on a shipping paper.	172.604	\$1,300.
6. Failure to provide required technical information when the listed emergency response telephone number is contacted.	172.604	\$1,300.
D. Package Marking Requirements:		
1. Failure to mark the proper shipping name on a package or marking an incorrect shipping name on a package.	172.301(a)	\$800 to \$1,600.
2. Failure to mark the identification number on a package.	172.301(a)	\$1,000 to \$2,000.