

- 439.640 Employee.
- 439.645 Federal agency or agency.
- 439.650 Grant.
- 439.655 Individual.
- 439.660 Recipient.
- 439.665 State.
- 439.670 Suspension.

Authority: 41 U.S.C. 701 *et seq.*

■ 5. Part 439 is further amended as follows:

■ a. “[Agency noun]” is removed and “SSA” is added in its place wherever it occurs.

■ b. “[Agency adjective]” is removed and “SSA” is added in its place wherever it occurs.

■ c. “[Agency head or designee]” is removed and “SSA Official or designee” is added in its place wherever it occurs.

■ d. “[Agency head]” is removed and “the Commissioner of SSA” is added in its place wherever it occurs.

■ 6. Section 439.510(c) is further amended by removing “[CFR citation for the Federal Agency’s regulations implementing Executive Order 12549 and Executive Order 12689]” and adding “20 CFR Part 436” in its place.

■ 7. Section 439.605(a)(2) is further amended by removing “[Agency-specific CFR citation]” and adding “20 CFR Part 439” in its place.

OFFICE OF NATIONAL DRUG CONTROL POLICY

21 CFR Parts 1404 and 1405

RIN 3201–ZA03

FOR FURTHER INFORMATION CONTACT:

ONDCP, Attn: Daniel R. Petersen, Washington, DC 20503, (202) 395–6745, Daniel_R_Petersen@ondcp.eop.gov.

List of Subjects

21 CFR Part 1404

Administrative practice and procedure, Debarment and suspension, Grant programs, Reporting and recordkeeping requirements.

21 CFR Part 1405

Administrative practice and procedure, Drug abuse, Grant programs, Reporting and recordkeeping requirements.

John Walters,
Director.

■ For the reason stated in the common preamble, the Office of National Drug Control Policy amends 21 CFR chapter III, as follows.

■ 1. Part 1404 is revised to read as set forth in instruction 1 at the end of the common preamble.

PART 1404—GOVERNMENTWIDE DEBARMENT AND SUSPENSION (NONPROCUREMENT)

Sec.

- 1404.25 How is this part organized?
- 1404.50 How is this part written?
- 1404.75 Do terms in this part have special meanings?

Subpart A—General

- 1404.100 What does this part do?
- 1404.105 Does this part apply to me?
- 1404.110 What is the purpose of the nonprocurement debarment and suspension system?
- 1404.115 How does an exclusion restrict a person’s involvement in covered transactions?
- 1404.120 May we grant an exception to let an excluded person participate in a covered transaction?
- 1404.125 Does an exclusion under the nonprocurement system affect a person’s eligibility for Federal procurement contracts?
- 1404.130 Does exclusion under the Federal procurement system affect a person’s eligibility to participate in nonprocurement transactions?
- 1404.135 May the Office of National Drug Control Policy exclude a person who is not currently participating in a nonprocurement transaction?
- 1404.140 How do I know if a person is excluded?
- 1404.145 Does this part address persons who are disqualified, as well as those who are excluded from nonprocurement transactions?

Subpart B—Covered Transactions

- 1404.200 What is a covered transaction?
- 1404.205 Why is it important to know if a particular transaction is a covered transaction?
- 1404.210 Which nonprocurement transactions are covered transactions?
- 1404.215 Which nonprocurement transactions are not covered transactions?
- 1404.220 Are any procurement contracts included as covered transactions?
- 1404.225 How do I know if a transaction in which I may participate is a covered transaction?

Subpart C—Responsibilities of Participants Regarding Transactions

Doing Business With Other Persons

- 1404.300 What must I do before I enter into a covered transaction with another person at the next lower tier?
- 1404.305 May I enter into a covered transaction with an excluded or disqualified person?
- 1404.310 What must I do if a Federal agency excludes a person with whom I am already doing business in a covered transaction?
- 1404.315 May I use the services of an excluded person as a principal under a covered transaction?
- 1404.320 Must I verify that principals of my covered transactions are eligible to participate?

1404.325 What happens if I do business with an excluded person in a covered transaction?

1404.330 What requirements must I pass down to persons at lower tiers with whom I intend to do business?

Disclosing Information—Primary Tier Participants

- 1404.335 What information must I provide before entering into a covered transaction with the Office of National Drug Control Policy?
- 1404.340 If I disclose unfavorable information required under § 1404.335, will I be prevented from participating in the transaction?
- 1404.345 What happens if I fail to disclose the information required under § 1404.335?
- 1404.350 What must I do if I learn of the information required under § 1404.335 after entering into a covered transaction with the Office of National Drug Control Policy?

Disclosing Information—Lower Tier Participants

- 1404.355 What information must I provide to a higher tier participant before entering into a covered transaction with that participant?
- 1404.360 What happens if I fail to disclose the information required under § 1404.355?
- 1404.365 What must I do if I learn of information required under § 1404.355 after entering into a covered transaction with a higher tier participant?

Subpart D—Responsibilities of Office of National Drug Control Policy Officials Regarding Transactions

- 1404.400 May I enter into a transaction with an excluded or disqualified person?
- 1404.405 May I enter into a covered transaction with a participant if a principal of the transaction is excluded?
- 1404.410 May I approve a participant’s use of the services of an excluded person?
- 1404.415 What must I do if a Federal agency excludes the participant or a principal after I enter into a covered transaction?
- 1404.420 May I approve a transaction with an excluded or disqualified person at a lower tier?
- 1404.425 When do I check to see if a person is excluded or disqualified?
- 1404.430 How do I check to see if a person is excluded or disqualified?
- 1404.435 What must I require of a primary tier participant?
- 1404.440 What method do I use to communicate those requirements to participants?
- 1404.445 What action may I take if a primary tier participant knowingly does business with an excluded or disqualified person?
- 1404.450 What action may I take if a primary tier participant fails to disclose the information required under § 1404.335?
- 1404.455 What may I do if a lower tier participant fails to disclose the