

- 137.120 May we grant an exception to let an excluded person participate in a covered transaction?
- 137.125 Does an exclusion under the nonprocurement system affect a person's eligibility to participate in Federal procurement contracts?
- 137.130 Does exclusion under the Federal procurement system affect a person's eligibility to participate in nonprocurement transactions?
- 137.135 May the Department of State exclude a person who is not currently participating in a nonprocurement transaction?
- 137.140 How do I know if a person is excluded?
- 137.145 Does this part address persons who are disqualified, as well as those who are excluded from nonprocurement transactions?

#### **Subpart B—Covered Transactions**

- 137.200 What is a covered transaction?
- 137.205 Why is it important to know if a particular transaction is a covered transaction?
- 137.210 Which nonprocurement transactions are covered transactions?
- 137.215 Which nonprocurement transactions are not covered transactions?
- 137.220 Are any procurement contracts included as covered transactions?
- 137.225 How do I know if a transaction in which I may participate is a covered transaction?

#### **Subpart C—Responsibilities of Participants Regarding Transactions**

##### **Doing Business With Other Persons**

- 137.300 What must I do before I enter into a covered transaction with another person at the next lower tier?
- 137.305 May I enter into a covered transaction with an excluded or disqualified person?
- 137.310 What must I do if a Federal agency excludes a person with whom I am already doing business in a covered transaction?
- 137.315 May I use the services of an excluded person as a principal under a covered transaction?
- 137.320 Must I verify that principals of my covered transactions are eligible to participate?
- 137.325 What happens if I do business with an excluded person in a covered transaction?
- 137.330 What requirements must I pass down to persons at lower tiers with whom I intend to do business?

##### **Disclosing Information—Primary Tier Participants**

- 137.335 What information must I provide before entering into a covered transaction with the Department of State?
- 137.340 If I disclose unfavorable information required under § 137.335, will I be prevented from participating in the transaction?
- 137.345 What happens if I fail to disclose the information required under § 137.335?

- 137.350 What must I do if I learn of the information required under § 137.335 after entering into a covered transaction with the Department of State?

##### **Disclosing Information—Lower Tier Participants**

- 137.355 What information must I provide to a higher tier participant before entering into a covered transaction with that participant?
- 137.360 What happens if I fail to disclose the information required under § 137.355?
- 137.365 What must I do if I learn of information required under § 137.355 after entering into a covered transaction with a higher tier participant?

#### **Subpart D—Responsibilities of Department of State Officials Regarding Transactions**

- 137.400 May I enter into a transaction with an excluded or disqualified person?
- 137.405 May I enter into a covered transaction with a participant if a principal of the transaction is excluded?
- 137.410 May I approve a participant's use of the services of an excluded person?
- 137.415 What must I do if a Federal agency excludes the participant or a principal after I enter into a covered transaction?
- 137.420 May I approve a transaction with an excluded or disqualified person at a lower tier?
- 137.425 When do I check to see if a person is excluded or disqualified?
- 137.430 How do I check to see if a person is excluded or disqualified?
- 137.435 What must I require of a primary tier participant?
- 137.440 What method do I use to communicate those requirements to participants?
- 137.445 What action may I take if a primary tier participant knowingly does business with an excluded or disqualified person?
- 137.450 What action may I take if a primary tier participant fails to disclose the information required under § 137.335?
- 137.455 What may I do if a lower tier participant fails to disclose the information required under § 137.355 to the next higher tier?

#### **Subpart E—Excluded Parties List System**

- 137.500 What is the purpose of the Excluded Parties List System?
- 137.505 Who uses the EPLS?
- 137.510 Who maintains the EPLS?
- 137.515 What specific information is in the EPLS?
- 137.520 Who places the information into the EPLS?
- 137.525 Whom do I ask if I have questions about a person in the EPLS?
- 137.530 Where can I find the EPLS?

#### **Subpart F—General Principles Relating to Suspension and Debarment Actions**

- 137.600 How do suspension and debarment actions start?
- 137.605 How does suspension differ from debarment?
- 137.610 What procedures does the Department of State use in suspension and debarment actions?

- 137.615 How does the Department of State notify a person of a suspension and debarment action?
- 137.620 Do Federal agencies coordinate suspension and debarment actions?
- 137.625 What is the scope of a suspension or debarment action?
- 137.630 May the Department of State impute the conduct of one person to another?
- 137.635 May the Department of State settle a debarment or suspension action?
- 137.640 May a settlement include a voluntary exclusion?
- 137.645 Do other Federal agencies know if the Department of State agrees to a voluntary exclusion?

#### **Subpart G—Suspension**

- 137.700 When may the suspending official issue a suspension?
- 137.705 What does the suspending official consider in issuing a suspension?
- 137.710 When does a suspension take effect?
- 137.715 What notice does the suspending official give me if I am suspended?
- 137.720 How may I contest a suspension?
- 137.725 How much time do I have to contest a suspension?
- 137.730 What information must I provide to the suspending official if I contest a suspension?
- 137.735 Under what conditions do I get an additional opportunity to challenge the facts on which the suspension is based?
- 137.740 Are suspension proceedings formal?
- 137.745 How is fact-finding conducted?
- 137.750 What does the suspending official consider in deciding whether to continue or terminate my suspension?
- 137.755 When will I know whether the suspension is continued or terminated?
- 137.760 How long may my suspension last?

#### **Subpart H—Debarment**

- 137.800 What are the causes for debarment?
- 137.805 What notice does the debarring official give me if I am proposed for debarment?
- 137.810 When does a debarment take effect?
- 137.815 How may I contest a proposed debarment?
- 137.820 How much time do I have to contest a proposed debarment?
- 137.825 What information must I provide to the debarring official if I contest a proposed debarment?
- 137.830 Under what conditions do I get an additional opportunity to challenge the facts on which the proposed debarment is based?
- 137.835 Are debarment proceedings formal?
- 137.840 How is fact-finding conducted?
- 137.845 What does the debarring official consider in deciding whether to debar me?
- 137.850 What is the standard of proof in a debarment action?
- 137.855 Who has the burden of proof in a debarment action?
- 137.860 What factors may influence the debarring official's decision?
- 137.865 How long may my debarment last?