

- 1169.325 What happens if I do business with an excluded person in a covered transaction?
- 1169.330 What requirements must I pass down to persons at lower tiers with whom I intend to do business?

Disclosing Information—Primary Tier Participants

- 1169.335 What information must I provide before entering into a covered transaction with the NEH?
- 1169.340 If I disclose unfavorable information required under § 1169.335, will I be prevented from participating in the transaction?
- 1169.345 What happens if I fail to disclose the information required under § 1169.335?
- 1169.350 What must I do if I learn of the information required under § 1169.335 after entering into a covered transaction with the NEH?

Disclosing Information—Lower Tier Participants

- 1169.355 What information must I provide to a higher tier participant before entering into a covered transaction with that participant?
- 1169.360 What happens if I fail to disclose the information required under § 1169.355?
- 1169.365 What must I do if I learn of information required under § 1169.355 after entering into a covered transaction with a higher tier participant?

Subpart D—Responsibilities of NEH Officials Regarding Transactions

- 1169.400 May I enter into a transaction with an excluded or disqualified person?
- 1169.405 May I enter into a covered transaction with a participant if a principal of the transaction is excluded?
- 1169.410 May I approve a participant's use of the services of an excluded person?
- 1169.415 What must I do if a Federal agency excludes the participant or a principal after I enter into a covered transaction?
- 1169.420 May I approve a transaction with an excluded or disqualified person at a lower tier?
- 1169.425 When do I check to see if a person is excluded or disqualified?
- 1169.430 How do I check to see if a person is excluded or disqualified?
- 1169.435 What must I require of a primary tier participant?
- 1169.440 What method do I use to communicate those requirements to participants?
- 1169.445 What action may I take if a primary tier participant knowingly does business with an excluded or disqualified person?
- 1169.450 What action may I take if a primary tier participant fails to disclose the information required under § 1169.335?
- 1169.455 What may I do if a lower tier participant fails to disclose the information required under § 1169.355 to the next higher tier?

Subpart E—Excluded Parties List System

- 1169.500 What is the purpose of the Excluded Parties List System (EPLS)?
- 1169.505 Who uses the EPLS?
- 1169.510 Who maintains the EPLS?
- 1169.515 What specific information is in the EPLS?
- 1169.520 Who places the information into the EPLS?
- 1169.525 Whom do I ask if I have questions about a person in the EPLS?
- 1169.530 Where can I find the EPLS?

Subpart F—General Principles Relating to Suspension and Debarment Actions

- 1169.600 How do suspension and debarment actions start?
- 1169.605 How does suspension differ from debarment?
- 1169.610 What procedures does the NEH use in suspension and debarment actions?
- 1169.615 How does the NEH notify a person of a suspension and debarment action?
- 1169.620 Do Federal agencies coordinate suspension and debarment actions?
- 1169.625 What is the scope of a suspension or debarment action?
- 1169.630 May the NEH impute the conduct of one person to another?
- 1169.635 May the NEH settle a debarment or suspension action?
- 1169.640 May a settlement include a voluntary exclusion?
- 1169.645 Do other Federal agencies know if the NEH agrees to a voluntary exclusion?

Subpart G—Suspension

- 1169.700 When may the suspending official issue a suspension?
- 1169.705 What does the suspending official consider in issuing a suspension?
- 1169.710 When does a suspension take effect?
- 1169.715 What notice does the suspending official give me if I am suspended?
- 1169.720 How may I contest a suspension?
- 1169.725 How much time do I have to contest a suspension?
- 1169.730 What information must I provide to the suspending official if I contest a suspension?
- 1169.735 Under what conditions do I get an additional opportunity to challenge the facts on which the suspension is based?
- 1169.740 Are suspension proceedings formal?
- 1169.745 How is fact-finding conducted?
- 1169.750 What does the suspending official consider in deciding whether to continue or terminate my suspension?
- 1169.755 When will I know whether the suspension is continued or terminated?
- 1169.760 How long may my suspension last?

Subpart H—Debarment

- 1169.800 What are the causes for debarment?
- 1169.805 What notice does the debarring official give me if I am proposed for debarment?
- 1169.810 When does a debarment take effect?
- 1169.815 How may I contest a proposed debarment?

- 1169.820 How much time do I have to contest a proposed debarment?
- 1169.825 What information must I provide to the debarring official if I contest a proposed debarment?
- 1169.830 Under what conditions do I get an additional opportunity to challenge the facts on which the proposed debarment is based?
- 1169.835 Are debarment proceedings formal?
- 1169.840 How is fact-finding conducted?
- 1169.845 What does the debarring official consider in deciding whether to debar me?
- 1169.850 What is the standard of proof in a debarment action?
- 1169.855 Who has the burden of proof in a debarment action?
- 1169.860 What factors may influence the debarring official's decision?
- 1169.865 How long may my debarment last?
- 1169.870 When do I know if the debarring official debars me?
- 1169.875 May I ask the debarring official to reconsider a decision to debar me?
- 1169.880 What factors may influence the debarring official during reconsideration?
- 1169.885 May the debarring official extend a debarment?

Subpart I—Definitions

- 1169.900 Adequate evidence.
- 1169.905 Affiliate.
- 1169.910 Agency.
- 1169.915 Agent or representative.
- 1169.920 Civil judgment.
- 1169.925 Conviction.
- 1169.930 Debarment.
- 1169.935 Debarring official.
- 1169.940 Disqualified.
- 1169.945 Excluded or exclusion.
- 1169.950 Excluded Parties List System.
- 1169.955 Indictment.
- 1169.960 Ineligible or ineligibility.
- 1169.965 Legal proceedings.
- 1169.970 Nonprocurement transaction.
- 1169.975 Notice.
- 1169.980 Participant.
- 1169.985 Person.
- 1169.990 Preponderance of the evidence.
- 1169.995 Principal.
- 1169.1000 Respondent.
- 1169.1005 State.
- 1169.1010 Suspending official.
- 1169.1015 Suspension.
- 1169.1020 Voluntary exclusion or voluntarily excluded.

Subpart J—[Reserved]

Appendix to Part 1169—Covered Transactions

Authority: E.O. 12549 (3 CFR, 1986 Comp., p. 189); E.O. 12698 (3 CFR, 1989 Comp., p. 235); sec. 2455, Pub. L. 103–355, 108 Stat. 3327 (31 U.S.C. 6101 note); 20 U.S.C. 959(a)(1).

■ 2. Part 1169 is further amended as set forth below.

■ a. “[Agency noun]” is removed and “NEH” is added in its place wherever it occurs.