

**37 CFR Part 10**

Administrative practice and procedure, Inventions and patents, Lawyers, Reporting and recordkeeping requirements.

**37 CFR Part 11**

Administrative practice and procedure, Inventions and patents, Lawyers, Reporting and recordkeeping requirements.

For the reasons set forth in the preamble, the United States Patent and Trademark Office proposes to amend 37 CFR Parts 1, 2, 10, and 11 as follows:

**PART 1—RULES OF PRACTICE IN PATENT CASES**

1. The authority citation for 37 CFR Part 1 continues to read as follows:

**Authority:** 35 U.S.C. 2(b)(2), unless otherwise noted.

2. Section 1.1 is amended by revising paragraph (a) introductory text and by adding paragraph (a)(4) to read as follows:

**§ 1.1 Addresses for correspondence with the United States Patent and Trademark Office.**

(a) *In general.* Except for paragraphs (a)(3)(i), (a)(3)(ii), and (d)(1) of this

section, all correspondence intended for the United States Patent and Trademark Office must be addressed to either “Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313–1450” or to specific areas within the Office as set out in paragraphs (a)(1), (a)(2), and (a)(3)(iii) of this section. When appropriate, correspondence should also be marked for the attention of a particular office or individual.

(4) *Office of Enrollment and Discipline correspondence.* All correspondence concerning enrollment, registration, and investigations should be addressed to the Mail Stop OED, Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313–1450.

3. Section 1.4 is amended by revising paragraph (d)(2) to read as follows:

**§ 1.4 Nature of correspondence and signature requirements.**

(2) The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-

practitioner, constitutes a certification under § 11.18(b) of this subchapter. Violations of § 11.18(b)(2) of this subchapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 11.18(c) of this subchapter. Any practitioner violating § 11.18(b) of this subchapter may also be subject to disciplinary action. *See* §§ 11.18(d) and 11.804(i)(15) of this subchapter.

4. Section 1.8 is amended by revising paragraph (a)(2)(iii)(A) to read as follows:

**§ 1.8 Certificate of mailing or transmission.**

(A) Correspondence filed in connection with a disciplinary proceeding under part 11 of this chapter.

5. Section 1.21 is amended by revising paragraph (a) to read as follows:

**§ 1.21 Miscellaneous fees and charges.**

(a) Registration of attorneys and agents:

(1) For admission to examination for registration to practice:	
(i) Application Fee (non-refundable) .....	\$40.00
(ii) Registration examination fee .....	
(A) For test administration by private sector entity .....	200.00
(B) For test administration by the USPTO .....	450.00
(2) On registration to practice or grant of limited recognition under §§ 11.9(b) or (c) .....	100.00
(3) [Reserved]	
(4) For certificate of good standing as an attorney or agent .....	10.00
Suitable for framing .....	20.00
(5) For review of decision:	
(i) by the Director of Enrollment and Discipline under § 11.2(c) .....	130.00
(ii) of the Director of Enrollment and Discipline under § 11.2(d) .....	130.00
(6) For requesting regrading of an examination under § 10.7(c):	
(i) Regrading of seven or fewer questions .....	230.00
(ii) Regrading of eight or more questions .....	460.00
(7) Annual fee for registered attorney or agent:	
(i) Active Status .....	100.00
(ii) Voluntary Inactive Status .....	25.00
(iii) Fee for requesting restoration to active status from voluntary inactive status .....	50.00
(iv) Balance due upon restoration to active status from voluntary inactive status .....	75.00
(8) Annual fee for individual granted limited recognition .....	100.00
(9)(i) Delinquency fee .....	50.00
(ii) Reinstatement fee .....	100.00
(10) On application by a person for recognition or registration after disbarment, suspension, or resignation pending disciplinary proceedings in any other jurisdiction; on petition for reinstatement by a person excluded, suspended, or excluded on consent from practice before the Office; on application by a person for recognition or registration who is asserting rehabilitation from prior conduct that resulted in an adverse decision in the Office regarding the person's moral character; and on application by a person for recognition or registration after being convicted of a felony or crime involving moral turpitude or breach of fiduciary duty .....	1,600.00
(11) Paper version of continuing training program and furnished narrative .....	75.00
(12) Application by Sponsor for Pre-approval of a Continuing Education Program .....	60.00

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6. Section 1.31 is revised to read as follows:

**§ 1.31 Applicants may be represented by a registered attorney or agent.**

An applicant for patent may file and prosecute his or her own case, or he or

she may be represented by a registered attorney, registered agent, or other individual authorized to practice before the United States Patent and Trademark